



Attorney's Docket No. 38190/233565

05-31-01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David G. Beshore
 Appl. No.: 09/848,519
 Filed: May 4, 2001
 For: METHOD AND COMPUTER PROGRAM
 PRODUCT FOR ASSESSING A PROCESS
 OF AN ORGANIZATION

Group Art Unit: To be assigned
 Examiner: To be assigned

June 1, 2001

Commissioner for Patents
 Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

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<p>"Express Mail" Mailing Label Number Date of Deposit: June 1, 2001</p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.</p>	<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on June 1, 2001.</p> <p> Lorna Morehead</p>
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CLT01/44815811 REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JL/

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶ Applicant is to place an "X" here if English language Translation is attached.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	09/848,519
				Filing Date	05/04/01
				First Named Inventor	David G. Beshore
				Group Art Unit	To be assigned
				Examiner Name	Johnna Loftis
Sheet 2 of 2	Attorney Docket Number	38190/233565			

NON PATENT LITERATURE DOCUMENTS					
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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	9	MARK C. PAULK, BILL CURTIS, MARY BETH CRISSIS & CHARLES V. WEBER, <i>Capability Maturity Model, Version 1.1</i> , 10 IEEE SOFTWARE 18-27 (July 1993), reprinted as, <i>The Capability Maturity Model for Software</i> , available at < http://www.sei.cmu.edu/cmm/papers/cmm.pdf > (visited 2001), 26 total pages	
	10	MARK C. PAULK, <i>Effective CMM-Based Process Improvement</i> , PROCEEDINGS OF THE 6TH INT'L CONF. ON SOFTWARE QUALITY, OTTAWA, CAN. 226-237 (Oct. 28-31, 1996), available at < http://www.sei.cmu.edu/cmm/papers/effective-spi.pdf > (visited 2001), 12 total pages.	
	11	SOFTWARE ENGINEERING INSTITUTE (SEI), A SYSTEMS ENGINEERING CAPABILITY MATURITY MODEL, VERSION 1.1 (1995), available at < http://www.sei.cmu.edu/pub/documents/95.reports/pdf/mm003.95.pdf >, 70 selected pages.	
	12	ELECTRONIC INDUSTRIES ALLIANCE (EIA), SYSTEMS ENGINEERING CAPABILITY MODEL APPRAISAL METHOD, available at (last modified Feb. 26, 2001) < http://www.geia.org/ssic/G47/731dwld.htm >, 161 total pages.	
	13	DONNA K. DUNAWAY & STEVE MASTERS, CMM SM -BASED APPRAISAL FOR INTERNAL PROCESS IMPROVEMENT (CBA IPI): METHOD DESCRIPTION (1996), available at < http://www.sei.cmu.edu/pub/documents/96.reports/pdf/tr007.96.pdf >, 57 total pages.	

Examiner Signature	/Johnna Loftis/ (01/17/2008)	Date Considered	
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CLT01/4481571v1

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